

# Combining Speech and Speaker Recognition - A Joint Modeling Approach

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# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Joint modeling of speech and speaker

## The brief idea

- Automatic speech recognition (ASR)
  - translate speech to text automatically
- Speaker recognition or speaker identification
  - identify speakers from characteristics of voice
- Combining speech and speaker recognition
  - capture speech and speaker characteristics together

# Why speech / speaker recognition

## Application of speech & speaker recognition

- Human-Computer Interface
- Automatic speech recognition
  - In-car system, smart home, speech search...
- Speaker recognition
  - Authentication, safety, personalization...

# A problem

They are handled separately

- Different datasets / evaluations
- Different models / methods

But they are closely related to each other

- Take speech as input
- Similar features / models

# A problem

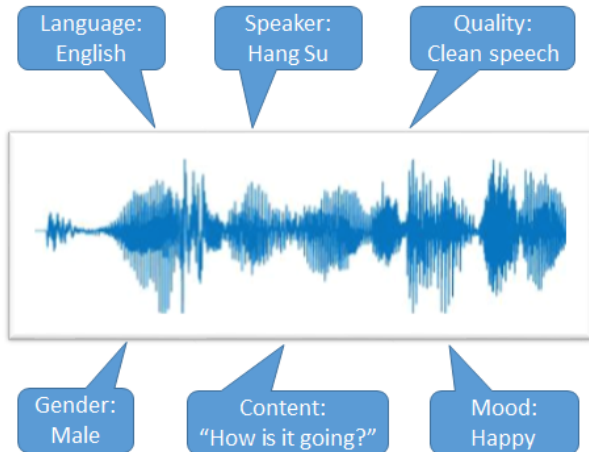
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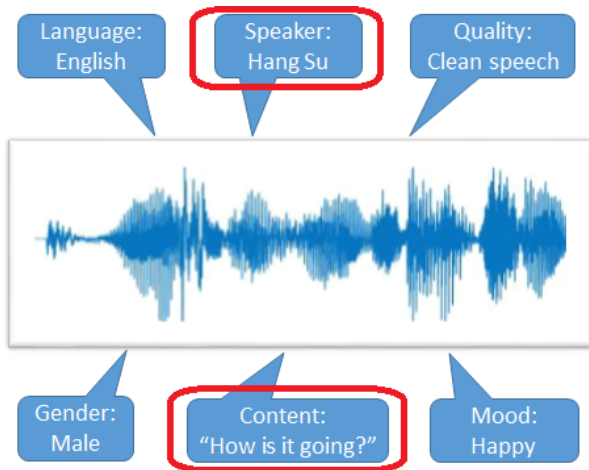
- Take speech as input
- Similar features / models
- (Same group of researchers :)

# An ideal AI agent for speech





# An ideal AI agent for speech



# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition**
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
  - Automatic Speech Recognition
  - Speaker Recognition
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Automatic Speech Recognition (ASR)

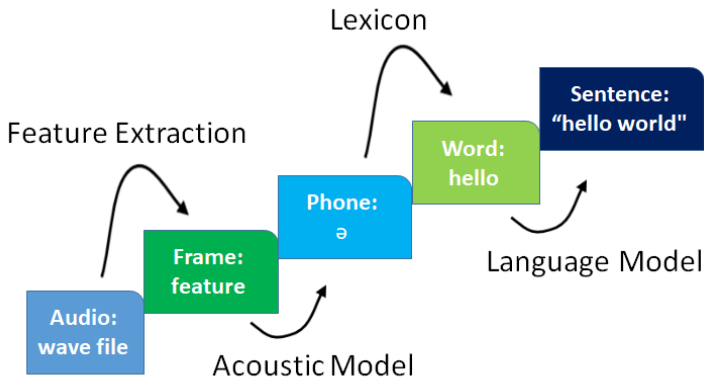
Transcribe speech into texts

- Frame-by-frame approach (10 ~30 ms)
- Components\*:
  - Feature extraction
  - Acoustic modeling (GMM-HMM)
  - Lexicon
  - Language modeling (LM)
- Or use end-to-end approach: discard HMM, optionally discard lexicon or language model

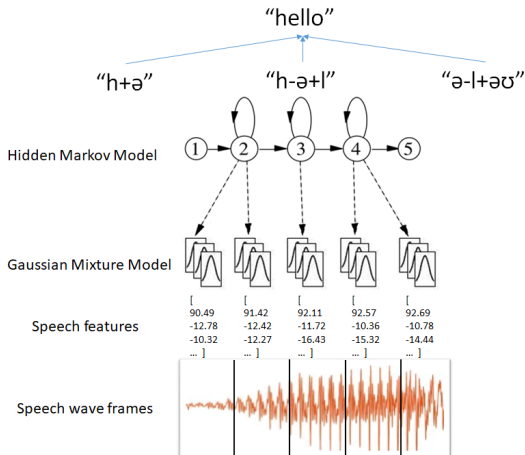
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\*For a traditional ASR system.

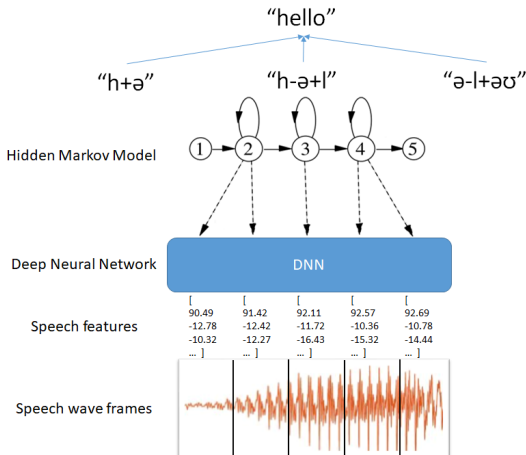
# Traditional ASR pipeline



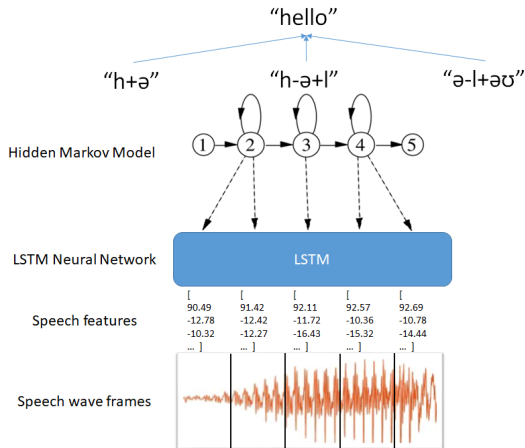
# Gaussian Mixture Model - HMM[9, 3]



# Deep Neural Network - HMM[1, 11]



# Long-Short Term Memory - HMM [8]





# Table of contents

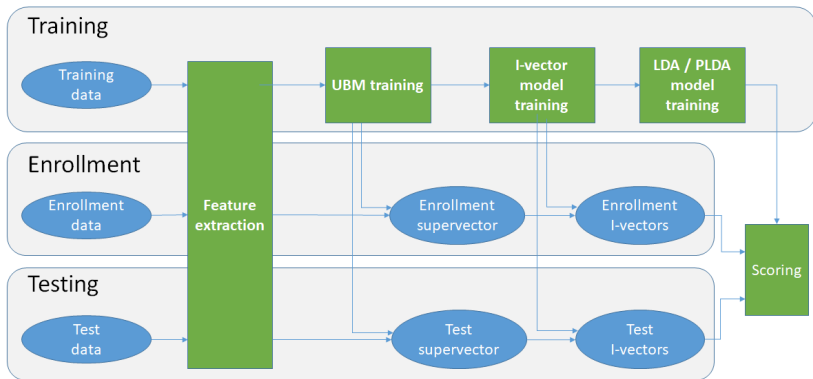
- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
  - Automatic Speech Recognition
  - Speaker Recognition
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Speaker Recognition

Speaker Recognition: Identify speakers from speech

- Components:
  - Feature extraction
  - Acoustic modeling
  - Speaker modeling
  - Scoring
- Make utterance-level predictions

# Text-independent speaker recognition



## Factor analysis approach [2]

$$\begin{aligned}x_t &\sim \sum_k^K \pi_k \mathcal{N}(\mu_k + A_k z_i, \Sigma_k) \\z_i &\sim \mathcal{N}(0, \mathbf{I}) \quad \sum_{k=1}^K \pi_k = 1\end{aligned}\tag{1}$$

- $x_t$  is  $p$ -dim speech feature for frame  $t$
- $\pi_k$  is prior for mixture  $k$
- $z_i$  : a  $q$ -dim speaker specific latent factor (i.e. i-vector)
- $A_k$  : a  $p$ -by- $q$  projection matrix for mixture  $c$
- $\mu_k$  and  $\Sigma_k$  are Gaussian parameters

# Post-processing of i-vectors

The factor-analysis model is an unsupervised model.  
Supervised methods could be used to improve i-vectors.

- Linear Discriminant Analysis [6]
- Probabilistic Linear Discriminant Analysis [6, 5]

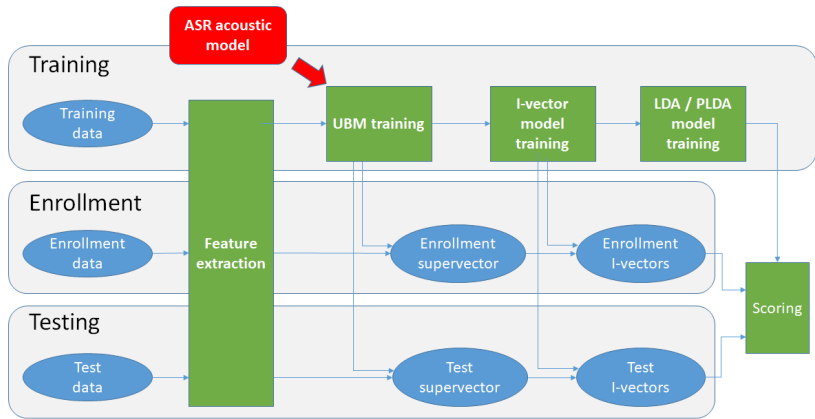
# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition**
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition**
  - Speaker Recognition using ASR
  - Speaker Adaptation
  - Conclusion
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Speaker recognition using ASR





## Speaker recognition using ASR cont.

- Substitute UBM with DNN model [7]
- Substitute UBM with Time-delay DNN [13]
- Use DNN initialized GMM acoustic model [13]
- Proposal: Use better DNN models for ASR †
  - Trained with raw MFCC feature
  - Trained with LDA transformed feature
  - Trained with LDA + fMLLR transformed feature
  - Trained with Minimum Phone Error (MPE) method

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†Factor Analysis Based Speaker Verification Using ASR.  
Hang Su and Steven Wegmann. Interspeech 2016

# Data description

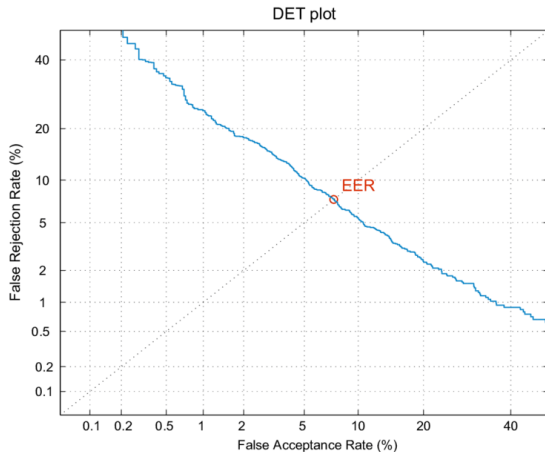
## Speaker recognition evaluation (SRE) data set

- Training data (SRE 2004-2008)
  - 18,715 recordings from 3,009 speakers
  - 1,000+ hours of data, 360,000,000 frame samples
- Test data (SRE 2010)
  - 387,112 trials (98% non-target)
  - 11,983 enrollment speakers, 767 test speakers
  - 2 ~3 mins per speaker

## ASR data set

- Training data (Switchboard)
- Testing data (Eval2000)

# Metric – DET curve and EER



## Metric – Word Error Rate (WER)

$$WER = \frac{S + D + I}{R} \quad (2)$$

- $S$  : number of substitutions
- $D$  : number of deletions
- $I$  : number of insertions
- $R$  : number of words in references

## Experimental results

	Eval2000 WER	SRE2010 EER
UBM	–	6.31
DNN-MFCC	19.4	6.39
+ LDA + MLLT	16.3	4.84
+ fMLLR*	14.9	4.55
+ MPE*	13.5	4.38

Table 1: EER for speaker recognition systems in different settings

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\*ASR decoding needed

# Experimental results

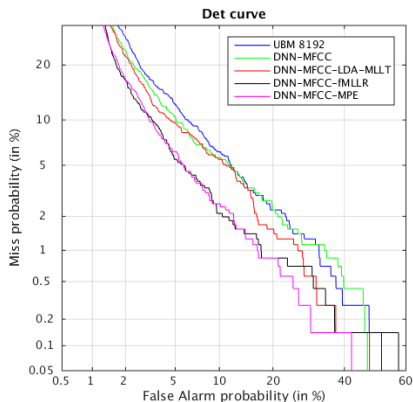


Figure 1: DET curve for systems in different settings

# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition**
  - Speaker Recognition using ASR
  - Speaker Adaptation
  - Conclusion
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Speaker Adaptation

How to handle speaker-specific characteristics during recognition?

- Adapt speaker-independent systems to different speakers (model-space)
- Normalize speech features to compensate speaker characteristics (feature-space)

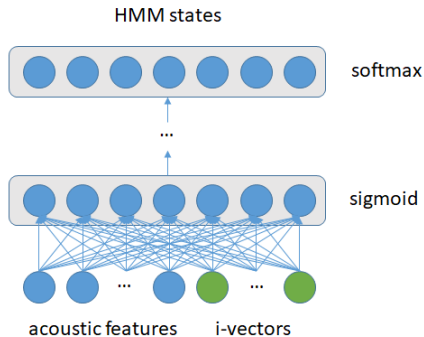


# Speaker adaptation for DNN systems

Existing methods:

- Feature-space transformations (fMLLR) [4]
- Model-space transformations [15]
- Adapting model parameters via regularization [16]
- Learning hidden unit contributions (LHUC) [14]

# Speaker adaptation using i-vectors[10]



$$h = W_a X + W_s Z$$

# Speaker adaptation using i-vectors

## Benefits of using i-vectors

- Does not require model re-training or ASR decoding
- Single DNN model for all speakers

## Potential drawback:

- Tend to overfit

# Problem of speaker adaptation using i-vector

I-vectors are extracted for every recordings

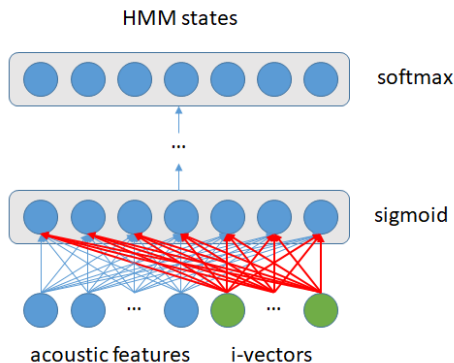
- Frames 100 million, 4,800 recordings
- Acoustic feature dim  $\sim 440$ , i-vector dim 100~400
- Better objective on training data does not translate into WER improvement
- Overfitting occurs

# Treatment for overfitting

Mitigate overfitting by

- Reducing i-vector dimension[10]
- Using utterance-based i-vectors[12]
- Extract i-vectors using sliding window (in Kaldi)
- L2 regularization back to baseline DNN[12]

# Regularization on i-vector sub-network



$$L_{re} = L_{ce} + \beta \|w_{ivec}\|^2$$

# Data description

## Switchboard data set

- Clean telephone speech, English
- ~300 hours transcribed data (~108,000,000 samples)
- ~4,800 recordings

## Eval2000 hub5 test set

- Switchboard portion + CallHome (family members)
- 40 + 40 speakers
- 2 hours + 1.6 hours

## Metric – Word Error Rate (WER)

$$WER = \frac{S + D + I}{R} \quad (3)$$

- $S$  : number of substitutions
- $D$  : number of deletions
- $I$  : number of insertions
- $R$  : number of words in references



## Experimental results

feature	MFCC		+fMLLR	
	Swbd	Callhome	Swbd	Callhome
acoustic feature	16.0	28.5	14.9	25.6
+ i-vector	15.2	27.1	14.4	25.7
+ regularization	<b>14.6</b>	<b>26.3</b>	<b>14.3</b>	<b>24.9</b>

Table 2: WER on i-vector adaptation using regularization

# Conclusion

A brief summarization:

- Speech and speaker recognition are two tasks that are closely related
- Speaker information can be used to improve speech recognition performance
- Acoustic models trained for ASR can be used to assist speaker recognition

# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker**
- 5 Conclusion and Future Work

# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker
  - TIK: An Open-source Tool
  - JointDNN for speech and speaker recognition
  - Conclusion
- 5 Conclusion and Future Work

# Existing Tools for Speech

## Kaldi:

- Popular speech recognition tool
- Supports GMM, HMM, DNN, LSTM ....
- State-of-the-art recipes

## Tensorflow (TF)

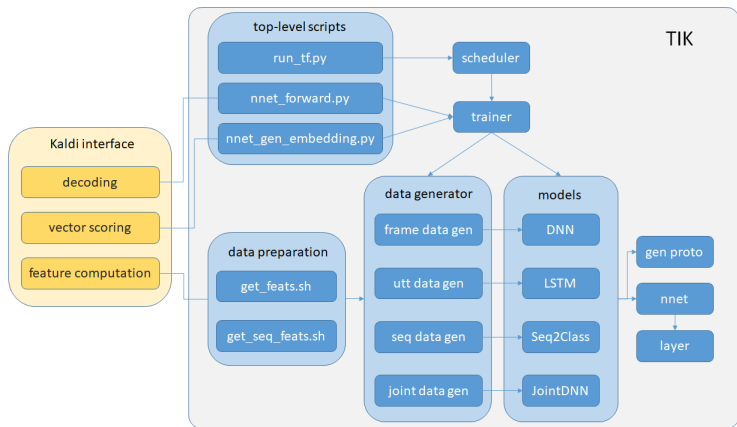
- Flexible deep learning research framework
- Tensorflow Lite: easy to deploy on embedded devices
- Tensor Processing Unit (TPU)

# TIK

Bridge the gap between Tensorflow and Kaldi

- It supports acoustic modeling using Tensorflow
- It integrates with Kaldi decoder through a pipe
- It covers both speech and speaker recognition tasks

# System Design of TIK



## ASR performance using TIK

	Swbd	CallHome	All
Kaldi GMM	21.4	34.8	28.2
Kaldi DNN	14.9	25.6	20.3
TIK DNN	14.5	25.5	20.0
TIK BLSTM	13.6	24.3	19.0

Table 3: WER of ASR systems trained with Kaldi and TIK (Eval2000 test set)



## Speaker recognition performance using TIK

	Cosine	LDA	PLDA
Kaldi UBM	6.91	3.36	2.51
Kaldi DNN	4.00	1.83	<b>1.27</b>
TIK DNN	4.53	2.00	<b>1.27</b>

Table 4: EER of speaker recognition systems trained Kaldi and TIK (SRE2010 test set)

# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker
  - TIK: An Open-source Tool
  - JointDNN for speech and speaker recognition
  - Conclusion
- 5 Conclusion and Future Work

# X-vector approach

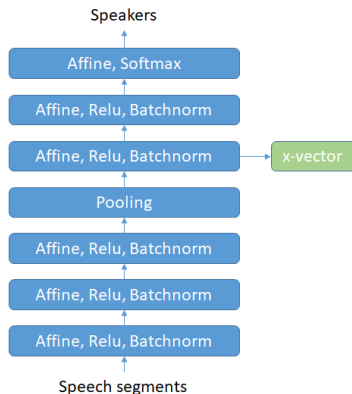


Figure 2: Structure of x-vector approach for speaker recognition

# JointDNN model

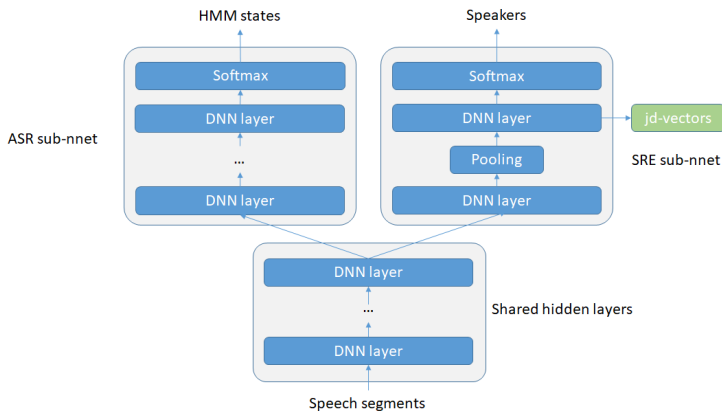


Figure 3: Structure of JointDNN model

## Loss function

$$L(\theta) = - \sum_{s=1}^S \sum_{t=1}^{s_T} h_{s,t} \log P(h_{s,t}|o_{s,t}) - \beta \sum_{s=1}^S x_s \log P(x_s|o_s) \quad (4)$$

- Interpolation of two cross-entropy losses
- $\beta$  is the interpolation weight
- $h_{s,t}$  denotes the HMM state for frame  $t$  of segment  $s$
- $o_{s,t}$  is the observed feature vector
- $x_s$  is the correct speaker
- $o_s$  is speech features for segment  $s$

# Data description

## Training data

- Switchboard data set
- ~300 hours transcribed data (~108,000,000 samples)
- ~520 speakers

## Testing data

- Eval2000 hub5 test set for speech recognition
- SRE2010 test set for speaker recognition

# Performance of speaker recognition

	EER
Baseline i-vector	4.85
Kaldi x-vector	8.94
TIK x-vector	8.81
TIK jd-vector (beta0.01)	4.75

Table 5: EER of JointDNN model for speaker recognition (SRE2010 test set)

# Performance of speaker recognition

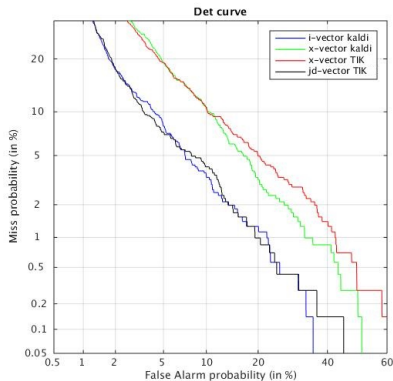


Figure 4: DET curve of JointDNN model for speaker recognition (SRE2010 test set)



## Performance of speech recognition

	Swbd	Callhome	All
Baseline DNN	16.1	28.4	22.3
JointDNN (beta 0.01)	16.8	29.0	22.9

Table 6: WER of JointDNN model for speech recognition

## Adjusting Interpolation Weight $\beta$

Beta	Development (%)		Evaluation (%)	
	ASR acc	Speaker acc	SRE EER	Swbd WER
0.1	39.07	97.22	5.10	16.7
0.01	39.20	94.10	4.75	16.8
0.001	38.60	85.36	9.19	17.2
0.0001	38.59	41.95	13.25	17.0

Table 7: EER of JointDNN model with different  $\beta$

# Conclusion

## Summary of JointDNN model

- JointDNN can be used for ASR and SRE simultaneously
- ASR part helps guide speaker recognition sub-network
- Effective in using a limited amount of training data
- Uses less memory compared to i-vector approach (better for embedded device)

# Table of contents

- 1 Introduction and Motivation
- 2 Backgrounds on Speech and Speaker Recognition
- 3 Connecting Speech and Speaker Recognition
- 4 Joint Modeling of Speech and Speaker
- 5 Conclusion and Future Work

# Conclusion and Future Work

## Conclusion of the talk

- Speech and speaker recognition are beneficial to each other
- A joint model helps exploit both speech and speaker information
- Effective in using limited amount of training data


# Future work

## Future work on joint modeling

- Use a larger data set or data augmentation techniques
- Introduce recurrent structures into joint model
- End-to-end approaches for joint modeling
- Towards an all-around speech AI agent

# The End

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