Discriminative Language Modeling

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Presented at: 9<sup>th</sup> Hub-5 Conversational Speech Recognition Workshop, Linthicum Heights, MD

September 1998

### **Discriminative Language Modeling**

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#### **Overview**

- Motivation
- Objective Functions
- Estimation of Discriminative N-gram LMs
- Experiments
- Issues for future work



### Motivation

- Current LM training approaches try to minimize (unconditional) entropy of test data (= perplexity)
- If target data does not conform to model class (Gaussians, N-grams) then better classification can be expected from optimizing a *discriminative* objective function, e.g., LM entropy conditioned on acoustic data
- Discriminative training explicitly penalizes incorrect hypotheses at the expense of (more) correct ones.
- Discriminative training (potentially) allows LM to compensate for acoustic model errors, e.g., acoustic confusibility of words.
- Discriminative training has been tried variously for acoustic models (Maximum Mutual Information estimation): Bahl et al. (1983, 1986), Normandin (1991), Beaufays et al. (1998)



### **Discriminative Objective Functions**

Define the *N*-best posterior  $p_k$  of the *k*-th N-best hypothesis  $W_k$ :

$$p_k = \frac{P_{\theta}(W_k)P(X|W_k)}{\sum_{j=1}^{N} P_{\theta}(W_j)P(X|W_j)}$$

 $P_{\theta}(\cdot)$  is the language model with parameters  $\theta$  $P(X|\cdot)$  is the (fixed) acoustic model

**Posterior of correct hypothesis** Maximize log probability of correct (or least errorful) hypothesis  $W_{k^*}$ 

$$R(\theta) = \log p_{k^*}$$

**Expected Word Error** Minimize average error of N-best hyps:

$$R(\theta) = -\sum_{k} p_k e_k$$

where  $e_k$  is error count for hypothesis  $W_k$ .



## **Estimation Algorithm**

- 1. Initialize LM with smoothed maximum likelihood estimates
- 2. Reestimate LM parameters from a *training set* ("batch mode" parameter updates)
- 3. Evaluate objective function and/or word error on a held-out *cross-validation* set
- 4. Goto 2 while objective function or error improves



## **Estimation Approach 1**

Perform gradient ascent on  $\nabla_{\theta} R(\theta)$  while keeping parameters normalized (Gopalakrishnan et al. 1989):

$$\theta_i' = \frac{\frac{\partial R(\theta)}{\partial \log \theta_i} + D\theta_i}{\sum_{j=1}^n \left[\frac{\partial R(\theta)}{\partial \log \theta_j} + D\theta_j\right]}$$

where D is a 'suitably large' constant (in practice chosen to keep all parameters positive)

Applied to N-gram LMs:

 Jointly reestimate all N-grams with the same history (probabilities stay normalized)

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How to handle back-off?
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• Keep back-off mass constant, only reestimate explicit N-grams.

Disadvantage: some N-grams never change in training.

 Or: expand all backed-off N-grams occurring in training to explicit higher-order N-grams.
Disadvantage: creates many new parameters.



## Sanity Check 1: Optimizing Unigram LM

#### Data

CallHome/CallFriend Spanish 44k training waveform segments 20k cross-validation waveform segments (training + cross-validation set comprise available Spanish LVCSR training corpus) 100-best lists

### Experiment

Use estimation approach 1 on a unigram LM. While unigram is a bad LM, discriminative reestimation should improve over ML unigram estimates. Note: no issue with handling back-off estimates here.

### Result

NO improvement on cross-validation set, with either objective function.



## **Estimation Approach 2**

Perform gradient ascent on  $\nabla_{\log \theta} R(\theta)$  without normalizing: probabilities stay positive but don't sum to one.

$$\log \theta_i' = \log \theta_i + \epsilon \frac{\partial R(\theta)}{\partial \log \theta_i}$$

 $\epsilon$  is step-size parameter controlling convergence speed/stability tradeoff

No problem handling backoff:

- Log backoff weights can be updated same as log probabilities
- Gradient can be propagated through backoff to lower-order N-grams, updates use cumulative gradient



# Sanity Check 2

Data as in Sanity Check 1

### Experiment

Use estimation approach 2 on a unigram LM Objective function: average N-best error

#### Results

Iteration	Train errors	X-val errors	
0	171887 (50.44)	83564 (53.46)	
47	166298 (48.80)	81572 (52.19)	
Lower bound	95969 (28.16)	48350 (30.93)	

Non-normalized gradient ascent seems to be more effective!



## **Bigram Experiment**

**Data** as before use 1997 Spanish LVCSR eval set for testing

### Experiment

Estimation approach 2 on a bigram LM Back-off weights are reestimated, but not unigrams Objective function: average N-best error

### Results

Iter.	Train errs (%)	X-val errs (%)	Test WER
0	147417 (43.26)	72396 (46.32)	62.7
76	140073 (41.11)	71048 (45.45)	63.0
L.B.	95969 (28.16)	48350 (30.93)	

Cross-validation improvement doesn't carry over to independent test set (yet)



## **Issues for Future Work**

#### **Fundamental Problem**

Cross-validation performance is biased because both AM and LM were trained on it

### Things to try

- Parameter tying (e.g., word-dependent LM weight)
- Update lower-order N-grams as well
- Combine standard and discriminative models in test

